

UGANDA NATIONAL REDD + PROGRAMME ANNUAL PROGRESS

COUNTRY: UGANDA

PERIOD: JULY 2013 TO JUNE 2014

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1. GENERAL INTRODUCTION

This is Uganda's second annual Report of Uganda's National REDD+ Programme. It is prepared as part of the requirements for the Forest Carbon Partnership Facility (FCPF) but it covers all the activities undertaken under the National REDD+ Programme over the same period July 2013 to June 2014.

Uganda became a Participant of FCPF in 2008 and in 2009 received a first US\$200,000 Grant through the World Bank to prepare a REDD+ Readiness Preparation Proposal (R-PP). Uganda embarked on R-PP preparation phase in March 2010 which was approved during the ninth Participants' Committee meeting in Oslo in June, 2011 with comments. Uganda submitted an acceptable and updated R-PP in May 2012 after which a second US\$3,634,000 Readiness Preparation Grant Agreement was signed on July 10, 2013 between the Government of Uganda (GoU) and the International Bank for Reconstruction and Development (IBRD/World Bank). Additional support has been received from the Grant Agreement with Austrian Development Agency (ADA), UN-REDD Targeted Support and GoU contribution.

The Over-all Development Objective for the FCPF/WB support is to contribute to the design of a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation and a national/sub national reference scenario of emissions from deforestation and forest degradation that takes into account the national circumstances and the emerging guidance from the global climate change convention. The Key result from R-PP Implementation is the REDD+ Strategy for Uganda. The following are the specific results:

- (1) A national draft REDD+ strategy and actions for addressing deforestation and forest degradation and enhancing carbon stock that has been consulted upon and validated by national stakeholders;
- (2) A preliminary national/sub national forest emissions reference level that is based on updated and quantified information of forest resources and national circumstances;
- (3) A Strategic Environmental and Social Assessment is completed and findings are validated by stakeholders and integrated into the design of REDD+ strategy.

In addition, Uganda envisions (1) Recognition and active promotion of REDD+'s catalytic role in restoring the forests and the value of the forestry sector as an integral part of Uganda's green economic growth; (2) Institutionalization of REDD+ Processes and Coordination Structures to deliver on its aims

2. SUMMARY OF REPORT

Progress

1. Over the period covered by this report the following are the are salient features of progress:
 - a. The US\$3,634,000 Readiness Preparation Grant Agreement was signed on July 10, 2013.
 - b. This was followed by another Grant Agreement with Austrian Development Agency (ADA)(Euros 650,000);
 - c. UN-REDD letter of Targeted Support and invitation to prepare a National Programme Document were received in April 2014 (US\$75,000);

- d. The Ministry of Finance, Planning and Economic Development (MFPED), through the Ministry of Water and Environment (MWE) agreed to allocate a code to the National REDD+ Programme;
2. A world Bank's FCPF annual supervisory mission in June 2014 was undertaken jointly with UN-REDD's scoping mission:
 - a. The mission accelerated project implementation progress by offering critical capacity support to the REDD+ Secretariat;
 - b. The mission also identified potential area where UN-REDD National Programme could channel their support to the country;
3. Procurement of national and international consultants to support the National REDD+ Programme are ongoing;
4. There is a strong collaborative linkage between the National REDD+ Programme and the following related activities:
 - a. The Low Emissions Development Capacity Building Project especially with regard to the joint sessions on GHG systems design & Design for NFMS;
 - b. The NBSAP 2 development Process; especially on the REDD+ linkage with the AICHI Targets
 - c. The EU-Supported Global Climate Change Alliance (GCCU) Project especially with regard to joint awareness planning in the Uganda's "cattle corridor"
 - d. The Biomass Strategy Development Process of the Ministry of Energy and Mineral Development especially on the joint identification of the options for addressing forest degradation which partly underlined by high dependency on woody biomass for energy
5. Initiation of preparation of the UN-REDD National Programme Document

Key Achievements with focus on higher level results

1. Component 1: Readiness Organisation and Consultation:

- a. All the R-PP implementation structures are, or will shortly be in place:
 - i. The Policy Policy Committee on Environment is in place. Chaired by the Prime Minister, and with a membership made of 10 Cabinet Ministers the Policy Committee on Environment is there to provide REDD+ with over-arching Policy & Political coordination and harmonization that cuts across ministries. During the reporting period, it sat to pass the national Climate Change Policy (CCP) of which REDD+ is a component.;
 - ii. Climate Change Policy Committee (CCPC) has agreed to serve as the Steering Committee for the National REDD+ Programme and to be the official platform for policy level stakeholder participation. It has also accepted to have an expanded membership to increase REDD+ inclusiveness. The CCPC has had one meeting in which REDD+ was on the agenda;
 - iii. The National REDD+ Technical Committee (NTC) will ensure technical quality and adequacy to the work plan implementation and deliverables is being constituted

- iv. The REDD+ Secretariat (Led by the National REDD+ Focal Point) based in the Forestry Sector Support Department (FSSD) of the /MWE is responsible for managing Government of Uganda (GoU) obligations on all matters of REDD+ Programme work plan
- b. During the reporting period and cumulatively, the coordination and supervision of the R-PP implementation has been as follows:
 - i. Procurement of consultants and staff to support the REDD+ Secretariat (including for establishment of an elaborate M&E) has began and is gaining momentum;
 - ii. The National REDD+ Focal Point participated in several regional and international fora on behalf of the REDD+ Secretariat and GoU during the course of last year;
 - iii. Regular internal reporting under the GoU as required was undertaken;
 - iv. Procurement of assorted office equipment was completed; and procurement of vehicles for Secretariat are still on going
 - c. Stakeholder participation during the course of the R-PP implementation has only been through the “R-PP implementation structures“ mentioned in (a.(i)) above. Procurements for the consultancy services to set up and train other consultation and participation structures for all the key stakeholders is on going;
 - d. One one of the actions agreed upon to ensure that all stakeholder categories participate and are consulted upon during the National REDD+ Process, was establishment of 16 Consultative Forums (based on national and sub-national circumstances):
 - i. Procurements of Consultants to support the strengthening of participatory structures, and conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels is ongoing;
 - e. Procurements of Consultants to support the *development of communication materials/tools for all components under the readiness grant* is ongoing;
 - f. Procurements of Consultants to support the addressing conflicts and grievances arising out of REDD-Plus and R-PP implementation is ongoing;

2. Component 2: REDD+ Strategy Preparation

- a. Procurements of Consultants to prepare REDD+ Strategies is ongoing
- b. A nationally generated first version of the interim guidelines standards and modalities for the design and implementation for sub-national and/ demonstration REDD+ activities was prepared. It is awaiting publication and dissemination to relevant stakeholders. Procurements of Consultants to support the technical aspects of the interim guidelines is ongoing;
- c. The ESMF will be prepared after completion of SESA. For the SESA sub-component, procurements of Consultants ongoing. Since the SESA is closely linked to Strategy options, the two are handled together. Thus, for the REDD+ Strategy sub-component, procurements of Consultants is also ongoing;

3. Component 3: Emissions Level/Reference Levels

- a. Preparation of National Reference Scenario for REDD+ is part of what will be included under the FAO support

4. Component 4: Monitoring Systems for Forests and Safeguards

- a. Procurements of Consultants ongoing;
- b. One workshop to identify multiple benefit planning in Uganda, executed by UNEP-WCMC in collaboration with the REDD+ Secretariat (FSSD) of the Ministry of Water and Environment and the National Forest Authority (NFA) was held. A report on "Supporting planning for multiple benefits from REDD+ in Uganda: exploring synergies with the CBD Aichi Biodiversity Targets" was prepared and awaiting publication

Important issues / Problems that arose during the reporting period

1. There was a delay of 6 months in the initiation of activities supported by the World Bank's FCPF; this may have implications for the end date of the project.

Highlights of the next steps

1. Continued strengthening of the R-PP implementation structures are, as the basis for ensuring a well supervised and coordinated National REDD+ Process; including but not limited to:
 - a. Engaging the Policy Committee on Environment with REDD+ as a form of awareness building;
 - b. Completing the expanded Climate Change Policy Committee (CCPC);
 - c. Inaugurating the National REDD+ Technical Committee (NTC) and its Taskforces
 - d. Completing the procurements that will make the REDD+ Secretariat (Led by the National REDD+ Focal Point) more functional
2. Regular reporting , the coordination and supervision enhancement of the R-PP implementation including:
 - a. Completion of the Procurement of consultants and staff to support the REDD+ Secretariat (including for establishment of an elaborate M&E);
 - b. Participation in regional and international fora on behalf of the REDD+ Secretariat and GoU;
 - c. Completing the procurement of vehicles for Secretariat
3. To ensure that all stakeholder categories' participation and are consultation we must complete the establishment of 16 Consultative Forums (based on national and sub-national circumstances):
4. Completion of Procurements of Consultants to prepare REDD+ Strategies and REDD+ Strategy activities (like SESA)
5. Completion of the arrangements initiated by the GoU, FCPF for the preparation of National Reference Scenario for REDD+ with technical support and backstopping by FAO

6. Completion of the Procurements of Consultants for the NFMS and Safeguards
7. Completion and submission of the National Programme Document to the 13th Policy Board of the UN-REDD for consideration;

3. MAIN ACHIEVEMENTS AND RESULTS DURING THE PERIOD

The section below should provide qualitative and quantitative data on the progress towards expected results along the following subsections. Information is to be provided cumulatively. If the information requested is not available or not relevant at the time of the reporting, mention “does not apply – n/a”.

3.1 PROGRESS AT THE IMPACT LEVEL (if any data available)

Please provide here any quantitative and qualitative information, if available on the following criteria/indicators.

Number of tons of CO2 emissions from deforestation and forest degradation reduced in the country during the reporting period as compared to the measured REL/RL, if any (FCPF M&E Framework Indicator I.1.B.):

National Forest Reference Emission Level (REL)/Reference Level (RL) defined: [N/A](#)

Number of tons reduced during the reporting period as compared to REL/RL: [N/A](#)

Amount of non-FCPF investments received under R-PP process (FCPF M&E Framework Indicator I.2.B.i.):

<u>Source:</u> Government of Austria	<u>Amount provided:</u> Euros 650,160
<u>Source:</u>	<u>Amount provided:</u>
<u>Source:</u>	<u>Amount provided:</u>

Amount of non-FCPF investments received for implementation of ER Programs (e.g. FIP, bilateral donors, private sector), if relevant (FCPF M&E Framework Indicator I.2.B.i.):

<u>Source:</u>	<u>Amount provided:</u> N/A
<u>Source:</u>	<u>Amount provided:</u> N/A
<u>Source:</u>	<u>Amount provided:</u> N/A

Level of multi-stakeholder participation and engagement in decision making processes related to emission reductions and forest resource management (FCPF M&E Framework Indicator I.3.A):

Please describe the process of engagement and consultation implemented: (including the level of participation and engagement for the following stakeholder categories):

Uganda's National REDD+ Programme has the following multi-stakeholder participation (including for consultations) decision-making processes and institutions;

- (1) The over-all Policy & Political coordination and harmonization with regards to REDD+ is the responsibility of the Policy Committee on Environment under the Office of the Prime Minister of Uganda. The Policy Committee on Environment is a legal organ established in 1995 under the Environment Act of Uganda (Cap 153). The Policy Committee on Environment has inter-ministerial membership consisting of Prime Minister (Chair), ministers responsible for: i) Natural resources and Environment; ii) Agriculture, Animal Industry and Fisheries; iii) Finance, Planning and Economic Development; iv) Education and Sports; v) Health; vi) Lands, Housing

and Urban Development; vii) Local governments; viii) Gender and Community development; ix) Tourism, Wildlife and Antiquities; and, x) Trade and Industry. For purposes of implementing the National REDD+ Program, the Policy Committee on Environment shall perform the following functions during 2012-2014: (i) Harmonize Government policies pertaining to REDD+ with sectoral ministries; (ii) Liaise with the Cabinet on issues affecting the smooth implementation of REDD+ Strategy; (iii) Harmonize the implementation of REDD+ with broader Climate Change initiatives in Uganda, in accordance with the Climate Change Policy.

- (2) The Ministry of Water and Environment (MWE) is the responsible ministry. It is supported by the expanded Climate Change Policy Committee (CCPC) which serves as a Steering Committee for the Programme and an official platform for policy level stakeholder participation. The CCPC is already in place and the process of "expanding" it to be inclusive of all key REDD+ stakeholders is underway;
 - (3) The National REDD+ Technical Committee (NTC) will ensure technical quality and adequacy to the work plan implementation and deliverables is being constituted. In its course of work the NTC will be supported by theme based technical Taskforces. Initially three (SESA, Methodology and C&P) Taskforces will be established;
 - (4) The REDD+ Secretariat (Led by the National REDD+ Focal Point) based in the Forestry Sector Support Department (FSSD) of the /MWE is responsible for managing Government of Uganda (GoU) obligations on all matters of REDD+ Programme work plan. The key responsibilities of the National REDD+ Focal Point are to manage partnerships between GoU and other development partners (World Bank's FCPF, ADC, UN-REDD, and other partners). The REDD+ Secretariat services Steering Committee (CCPC), NTC and Taskforces, manages the consultancies and other members of the National REDD+ Programme operations and work plan activities and assumes full responsibility for the successful delivery of the work plan;
- (5) To ensure that all stakeholder categories are participate and are consulted upon during the National REDD+ Process, a stakeholder mapping process recommended establishment of 16 Consultative Forums (based on national and sub-national arrangements). Uganda Stakeholders were categorized (Government, Local Communities, Civil Society, Private Sector, The Academia, the Media and Development Partners) and initial REDD+ issues for which views need to be sought were identified.

Please describe the level of participation and engagement for the following stakeholder categories:

With regard to the level of participation and engagement for the following stakeholder categories:

- Government Agencies, Indigenous Peoples, Other forest-dependent communities; Women, Youth, CSOs, Private entities, Donors; the following table still summarizes the situation during the R-PP formulation;

Category	Number of participating entities or individuals
Policy /Ministries	16
Development Partners	6
NGOs/CSO	66
Private Sector	7
Forest Dependent People/Communities/Special Groups	2,071
Academia	5
Research Institutions	3

Source: Uganda R-PP (2012)

- A Consultations and Participation Plan (that includes an Awareness and Communication Plan and, Conflicts and Grievances Management Plan) has been prepared to ensure that during the implementation of the R-PP, full and effective participation and engagement of all key stakeholders especially the indigenous and community members is possible and easy to follow and support;

Nb. and type of policy reforms initiated, completed or underway complying to REDD+ standards, if any (FCPF M&E Framework Indicator 1.3.B.):

Number of policy reforms during the reporting period that are:

- Underway: N/A
- Completed: N/A

Please describe these policy reforms: N/A

3.2 PROGRESS AT THE OUTCOME AND OUTPUT LEVEL

3.2.1. REDD Readiness Progress

• Outcome level

As a synthesis of the following output level assessments, please briefly describe here the progress made during the reporting period in developing the country Readiness Package (FCPF M&E Framework Indicator 1.A.):

Progress made during the reporting period in developing the country Readiness Package: N/A

• Output level

Please indicate which of your country R-PP components and sub-components have received support from FCPF through the Readiness Preparation Grant (>3.4 million USD)

Components	Sub-components	Support from FCPF (Yes/No)
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	Yes
	1b. Consultation, Participation, and Outreach	Yes
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	No ¹
	2b. REDD+ Strategy Options	Yes
	2c. Implementation Framework	Yes
	2d. Social and Environmental Impacts	Yes
3. Reference Emissions Level/Reference Levels		Yes
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System	No
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	No ²

¹ Supported activities from the FCPF are not very explicit but are linked component 3

² Supported activities from the FCPF are not very explicit but are linked to those under 2d.

Level of implementation of R-PP³ as a whole:

Please describe the current R-PP implementation stage:

Components	Sub-components	Planned Milestones (R-PP Level)	Cumulative Progress Towards Milestones
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	<ul style="list-style-type: none"> a. R-PP Implementation Structures in place and functioning well b. Well Coordinated and Supervised R-PP implementation c. Stakeholder ownership and participation in R-PP implementation 	<ul style="list-style-type: none"> a. The following R-PP implementation structures are, or will shortly be in place: <ul style="list-style-type: none"> i. The Policy Policy Committee on Environment in place. The over-all Policy & Political coordination and harmonization with regards to REDD+ is the responsibility of the Policy Committee on Environment under the Office of the Prime Minister of Uganda. During the course of the year, it sat to pass the national climate change policy of which REDD+ is a component; ii. Climate Change Policy Committee (CCPC) which serves as a Steering Committee for the Programme and an official platform for policy level stakeholder participation. Expanding membership of this committee to increase REDD+ inclusiveness is almost complete. The CCPC has had one meeting in which REDD+ was on the agenda; iii. The National REDD+ Technical Committee (NTC) will ensure technical quality and adequacy to the work plan implementation and deliverables is being constituted iv. The REDD+ Secretariat (Led by the National REDD+ Focal Point) based in the Forestry Sector Support Department (FSSD) of the /MWE is responsible for managing Government of Uganda (GoU) obligations on all matters of REDD+ Programme work plan b. During the reporting period and cumulatively, the

³ Please note that you will be able to assess progress on the implementation of RPP-P components and sub-components in table included in the following pages.

			<p>coordination and supervision of the R-PP implementation has been as follows:</p> <ul style="list-style-type: none"> i. Procurement of consultants and staff to support the REDD+ Secretariat (including for establishment of an elaborate M&E) is underway; ii. The National REDD+ Focal Point participated in a combined five national, regional and international fora on behalf of the REDD+ Secretariat and GoU during the course of last year; iii. There was regular internal reporting under the GoU as required; iv. Procurement of assorted office equipment was completed; and procurement of vehicles for Secretariat are still on going but the progress is half way <p>c. Stakeholder participation during the course of the R-PP implementation has only been through the “R-PP implementation structures” mentioned in (a.(i)) above. Procurements for the consultancy services to set up and train other consultation and participation structures for all the key stakeholders is on going.</p>
	1b. Consultation, Participation, and Outreach	<ul style="list-style-type: none"> a. Strategies and actions for conducting consultations and facilitating participation of stakeholders b. Strategies, actions, messages and tools for raising awareness and communicating about REDD-Plus and R-PP implementation c. Strategies and actions for addressing conflicts and grievances arising out of REDD-Plus and R-PP implementation 	<ul style="list-style-type: none"> a. One one of the actions agreed upon to ensure that all stakeholder categories participate and are consulted upon during the National REDD+ Process, was establishment of 16 Consultative Forums (based on national and sub-national circumstances): <ul style="list-style-type: none"> i. Procurements of Consultants to support the strengthening of participatory structures, and conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels is ongoing; b. Procurements of Consultants to support the <i>development of communication materials/tools for all components under the readiness grant</i> is ongoing; c. Procurements of Consultants to support the addressing conflicts and grievances arising out of REDD-Plus and R-

			PP implementation is ongoing;
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance	a. Updated Status report on trends in Land use, Forest Policy and Governance	a. Nothing to report;
	2b. REDD+ Strategy Options	a. Approved REDD+ Strategies for Uganda	a. Procurements of Consultants to prepare REDD+ Strategies is ongoing
	2c. Implementation Framework	a. Approved Implementation Framework; b. National Capacity for implementing REDD -Plus Strategy	a. The first version of the interim guidelines standards and modalities for the design and implementation for sub-national and/ demonstration REDD+ activities was prepared and approved by the 8 th Meeting of National Steering Committee. It is awaiting publication and dissemination to relevant stakeholders. Procurements of Consultants to support the technical aspects of the interim guidelines is ongoing; b. Nothing to report;
	2d. Social and Environmental Impacts	a. ESMF for Uganda's REDD -Plus Strategy b. Capacity to implement ESMF	a. The ESMF will be prepared after a through SESA. For the SESA sub-component, procurements of Consultants ongoing. Since the SESA is closely linked to Strategy options, the two are handled together. Thus, for the REDD+ Strategy sub-component, procurements of Consultants is also ongoing; b. Nothing to report;
3. Reference Emissions Level/Reference Levels		a. Data/information on Uganda's Future Scenario b. Capacity and facilities for establishing and measuring/monitoring future scenario	a. Nothing to report; Preparation of National Reference Scenario for REDD+ is part of what will be included under the FAO support
4. Monitoring Systems for Forests and	4a. National Forest Monitoring	a. System and procedures for Monitoring, Reporting and Verifying REDD+ activities	a. Procurements of Consultants ongoing;

Safeguards	System	b. Capacity and facilities for MRV implementation	
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards	a. System and procedures for Monitoring and Reporting Multiple Benefits, Other Impacts, Governance, and Safeguards	a. Procurements of Consultants ongoing b. One workshop to identify multiple benefit planning in Uganda, executed by UNEP-WCMC in collaboration with the REDD+ Secretariat (FSSD) of the Ministry of Water and Environment and the National Forest Authority (NFA) was held. A report on “Supporting planning for multiple benefits from REDD+ in Uganda: exploring synergies with the CBD Aichi Biodiversity Targets” was prepared and awaiting publication

Table 2: Level of achievement of planned milestones according to approved FCPF-financed Readiness Fund Grant (>3.4 million USD) (FCPF M&E Framework 1.3.b.):

Components	Sub-components	Planned Milestones: (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Level of Achievement ⁴ : (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements	<p><u>Component 1: Support the Coordination and Monitoring of REDD+ Readiness Process</u></p> <p>During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would contribute to the funding for the National Readiness Management Arrangement Activities in form of technical assistance, goods, workshops, training, services, and operational costs for the following:</p> <ol style="list-style-type: none"> Operational expenditures to support the functions of: i) Two (2) National Technical Committee meetings; ii) Eight (8) Task Forces meetings; iii) REDD+ Secretariat office operations and communication/reporting costs, vehicle O&P costs; Recruitment and remuneration of Technical Advisor for the REDD+ Secretariat (<i>max 100 days</i>); Recruitment and remuneration of Communication Specialist/Programme Officer (<i>full time; 12 months</i>). Capacity strengthening activities/training for the sectors and agencies involved in REDD+ coordination and implementation. 	<p><u>FCPF REDD+ Support (Activities Planned)</u></p> <p><u>Component 1: Support the Coordination and Monitoring of REDD+ Readiness Process</u></p> <p>Out of the activities planned during 2013/14, under the <u>FCPF REDD+ Support</u>, the following is the progress:</p> <ol style="list-style-type: none"> Nothing to report; as all activities planned were not done Recruitment of Technical Advisor for the REDD+ Secretariat <i>is still in on-going (in advanced stage)</i> Recruitment of Communication Specialist/Program Officer <i>is still in on-going (in advanced stage)</i> Nothing to report; as all activities planned were not done Participation in regional and international meetings was not through this specific arrangement. Nonetheless: <ol style="list-style-type: none"> Margaret participated in the REDD+ Projects Linkages Meeting; 5 Ugandans participated in Social Inclusion Meetings Xavier Participated in the FCPF Participants Committee Meeting Procurement of assorted office equipment (3

⁴ Countries are expected to provide data on the overall level of achievement of planned milestones as defined in their Readiness Preparation Grant Agreement, and, if applicable, on their Supplementary Grant Agreement for an additional grant of up to \$5 million. For instance, under their Preparation Readiness Grant Agreement (>3.4 million USD), Countries should provide data on (i) the support to the Coordination of the REDD+ Readiness Process and Multi-Stakeholder Consultations; (ii) the contribution to the Design of a National REDD+ Strategy; and (iii) the preparation of a National Reference Scenario for REDD+

Components	Sub-components	Planned Milestones: (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Level of Achievement⁴: (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))
		<ul style="list-style-type: none"> e. Participation of xxx people in two (2) regional and xxx people one (1) international meetings. f. Procurement of assorted office equipment (3 computers, 1 photocopier, 1 printer). g. Procurement of 1 vehicle. h. Design, installation of an M&E framework for overall reporting on the progress made on implementation of REDD+ readiness, including FCPF supported activities. 	<ul style="list-style-type: none"> computers, 1 photocopier, 1 printer) was completed. g. Procurement of 1 vehicle <i>is still in on-going (in advanced stage)</i> h. T.o.Rs for undertaking Uganda's M&E framework for overall reporting on the progress made on implementation of REDD+ readiness, including FCPF supported activities was approved. Recruitment of the Consultant to provide the services are still in progress
	1b. Consultation, Participation, and Outreach	<p><u>Component 2: Enhancing Stakeholder Engagement and Feedback in Readiness Process</u></p> <p><u>Sub-component 2.1: Strengthening participatory structures, and conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels</u></p> <p>During 2013/14, it had been planned that the FCPF REDD+ Support would contribute to the funding the</p> <ul style="list-style-type: none"> a. setting up and training of participatory structures <p><u>Sub- component 2.2 Development of communication materials/tools for all components under the readiness grant</u></p> <p>During 2013/14, it had been planned that the FCPF REDD+ Support would contribute to the funding the</p> <ul style="list-style-type: none"> a. development of a variety of communication materials / tools for all 	<p><u>FCPF REDD+ Support (Activities Planned)</u></p> <p><u>Component 2: Enhancing Stakeholder Engagement and Feedback in Readiness Process</u></p> <p><u>Sub-component 2.1: Strengthening participatory structures, and conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels</u></p> <p>Out of the activities planned during 2013/14, under the FCPF REDD+ Support, the following is the progress:</p> <ul style="list-style-type: none"> a. Procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on August 26, 2014) <p><u>Sub- component 2.2 Development of communication materials/tools for all components under the readiness grant</u></p> <p>Out of the activities planned during 2013/14, under the FCPF REDD+ Support, the following is the progress:</p> <ul style="list-style-type: none"> a. Procurements of Consultants ongoing (T.o.Rs,

Components	Sub-components	Planned Milestones: (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Level of Achievement⁴: (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))
		components	REOI approved; Bids for REOI due for opening on August 26, 2014)
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance		
	2b. REDD+ Strategy Options	<i>The output of Component 3: (a-d) will lead into drafting the National REDD+ Strategy document</i>	<i>The output of Component 3: (a-d) will lead into drafting the National REDD+ Strategy document</i>
	2c. Implementation Framework	<p><u>Component 4: REDD+ implementation framework</u></p> <p>During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would support finalizing draft Guidelines for REDD+ Demonstration Activities. These guidelines will form initial step for establishing an institutional framework for implementation of REDD+ at sub-national level:</p> <ol style="list-style-type: none"> Reviewing and finalizing the draft Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities. Stakeholder consultations and input into the Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities. Reviewing and documenting existing REDD+ demonstration activities. Developing and monitoring framework for future demonstration activities. Establishing a database for ongoing REDD+ 	<p><u>Component 4: REDD+ implementation framework</u></p> <p>During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would support finalizing draft Guidelines for REDD+ Demonstration Activities. These guidelines will form initial step for establishing an institutional framework for implementation of REDD+ at sub-national level:</p> <ol style="list-style-type: none"> Procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on September 5, 2014) Nothing to report; as all activities planned were not done Procurements of Consultants ongoing Nothing to report; as all activities planned were not done Nothing to report; as all activities planned were not done

Components	Sub-components	Planned Milestones: (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Level of Achievement⁴: (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))
	2d. Social and Environmental Impacts	<p>programmes/projects in Uganda</p> <p><u>Component 3: Designing REDD+ Readiness Strategy and SESA</u> During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would contribute mainly on the Strategic Environmental and Social Assessment (SESA) through the following activities</p> <ol style="list-style-type: none"> a) Conducting and assessment of potential strategic options in proposed in the May 2012 R-PP, including analysis of policy, legal, social, environmental, cost benefits, governance aspects, and other relevant aspects. b) Refining and prioritizing REDD+ Strategies that are most likely to be successful, most cost effective, and have the highest potential for scaling-up. c) Stakeholder consultations on assessment (part (a) above) and priority REDD+ Strategies (part (b) above) to obtain feedback on policy implications and requirements of the findings and conclusions of these assessments and recommended priority REDD+ Strategies. d) Presenting findings of assessment to CCPC for final validation and approval, consultations with other sectors at. 	<p><u>Component 3: Designing REDD+ Readiness Strategy and SESA</u> During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would contribute mainly on the Strategic Environmental and Social Assessment (SESA) through the following activities</p> <ol style="list-style-type: none"> a. This component is in two sub-components: <ol style="list-style-type: none"> i. For the SESA sub-component, procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on August 26, 2014) ii. For the REDD+ Strategy sub-component, procurements of Consultants is also ongoing (T.o.Rs, REOI are awaiting approval) a. Nothing to report; as all activities planned were not done b. Nothing to report; as all activities planned were not done c. Nothing to report; as all activities planned were not done
3. Reference Emissions Level/Reference Levels		<p><u>Component 5: Preparation of National Reference Scenario for REDD+ Including Inventory of Forest Resources</u> During 2013/14, it had been planned that the</p>	<p><u>Component 5: Preparation of National Reference Scenario for REDD+ Including Inventory of Forest Resources</u> Out of the activities planned during 2013/14, under the</p>

Components	Sub-components	Planned Milestones: (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Level of Achievement⁴: (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))
		<p><u>FCPF REDD+ Support</u> would finance consultant services, capacity building trainings, workshops, procurement of satellite imageries and GIS equipment, operational costs and relevant consultations to initiate the development of a reference scenario (FERL/FRLs), including national forest cover mapping and inventory in priority areas:</p> <ol style="list-style-type: none"> Capacity building for developing national Reference Scenario (FERL/FRLs) among technical staff. Designing a plan for designing national Reference levels. Compiling and assessing suitability of historical data for use in REL.RLS development. Developing tools and training is use of tools for linking and harmonizing various national databases. Purchase of Remote Sensing data. Operational costs for conducting inventory work. Purchase of equipment (hard and soft) for processing, storing and archiving remote sensing data. 	<p><u>FCPF REDD+ Support</u>, the following is the progress:</p> <ol style="list-style-type: none"> Nothing to report; Capacity building for developing national Reference Scenario (FERL/FRLs) among technical staff is part of what will be included under the FAO support Nothing to report; Designing a plan for designing national Reference levels is part of what will be included under the FAO support Nothing to report; Compiling and assessing suitability of historical data for use in REL.RLS development is part of what will be included under the FAO support Nothing to report; Developing tools and training is use of tools for linking and harmonizing various national databases is part of what will be included under the FAO support Nothing to report; Purchase of Remote Sensing data is part of what will be included under the FAO support Nothing to report; Operational costs for conducting inventory work depends on guidance provided by the FAO support Nothing to report; Purchase of equipment (hard and soft) for processing, storing and archiving remote sensing data depends on guidance provided by the FAO support
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System		

Components	Sub-components	Planned Milestones: (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Level of Achievement⁴: (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014) (Numberings are identical to allow for direct comparison of what was planned against progress))
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards		
		During 2013/14, it had been planned that the <u>FCPF REDD+ Support would</u> finance consultant services to assess the projects a. <u>Carbon Foot-print off-set</u>	Out of the activities planned during 2013/14, under the <u>FCPF REDD+ Support,</u> the following is the progress: a. Procurements of Consultants ongoing (T.o.Rs were prepared and they are waiting for approval)

Table 1: Degree of achievement of planned milestones per R-PP component and sub-component (FCPF M&E Framework 1.3.c.)⁵
 Countries are expected to rate progress toward the implementation of R-PP sub-component only once a year, as part of the reporting submitted by August 30th each year (Activities Planned and Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
1. Readiness Organization and Consultation	1a. National REDD+ Management Arrangements			<p><u>FCPF REDD+ Support (Activities Planned)</u> <i>Component 1: Support the Coordination and Monitoring of REDD+ Readiness Process</i> During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would contribute to the funding for the National Readiness Management Arrangement Activities in form of technical assistance, goods, workshops, training, services, and operational costs for the following:</p> <p>a. Operational expenditures to support the functions of: i) Two (2) National Technical Committee meetings; ii) Eight (8) Task Forces meetings; iii) REDD+ Secretariat office</p>	<p><u>FCPF REDD+ Support (Activities Planned)</u> <i>Component 1: Support the Coordination and Monitoring of REDD+ Readiness Process</i> Out of the activities planned during 2013/14, under the <u>FCPF REDD+ Support</u>, the following is the progress:</p> <p>a) Nothing to report; as all activities planned were not done b) Recruitment of Technical Advisor for the REDD+ Secretariat <i>is still in on-going (in advanced stage)</i> c) Recruitment of Communication Specialist/Program Officer <i>is still in on-going (in advanced stage)</i> d) Nothing to report; as all</p>	

⁵ Uganda's rating of progress toward the implementation of R-PP sub-component is still subjective (based only on the judgment of the REDD+ Secretariat). A more objective rating requires a participatory forum. This forum will be arranged at later date than the date of submission of this report.

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress)	
				<p>operations and communication/reporting costs, vehicle O&P costs</p> <p>b. Recruitment and remuneration of Technical Advisor for the REDD+ Secretariat (<i>max 100 days</i>).</p> <p>c. Recruitment and remuneration of Communication Specialist/Programme Officer (<i>full time; 12 months</i>).</p> <p>d. Capacity strengthening activities/training for the sectors and agencies involved in REDD+ coordination and implementation.</p> <p>e. Participation of xxx people in two (2) regional and xxx people one (1) international meetings.</p> <p>f. Procurement of assorted office equipment (3 computers, 1 photocopier, 1 printer).</p> <p>g. Procurement of 1 vehicle.</p> <p>h. Design, installation of an M&E framework for overall reporting on the progress made on</p>	<p>activities planned were not done</p> <p>e) Participation in regional and international meetings was not through this specific arrangement. Nonetheless:</p> <p>i. Margaret participated in the REDD+ Projects Linkages Meeting;</p> <p>ii. 5 Ugandans participated in Social Inclusion Meetings</p> <p>iii. Xavier Participated in the FCPF Participants Committee Meeting</p> <p>f) Procurement of assorted office equipment (3 computers, 1 photocopier, 1 printer) was completed.</p> <p>g) Procurement of 1 vehicle <i>is still in on-going (in advanced stage)</i></p> <p>h) T.o.Rs for undertaking Uganda's M&E framework for overall reporting on the progress made on implementation of REDD+ readiness, including FCPF supported activities was approved. Recruitment of the Consultant to provide the services are still in progress</p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				implementation of REDD+ readiness, including FCPF supported activities.		
				<p><u>GoU Supported REDD+ Activities (Planned)</u></p> <p><u>Vote Function output: Policy Planning, Legal and Institutional framework</u> During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following:</p> <ul style="list-style-type: none"> a. Capacity for National Focal Point (human resources) to coordinate implementation of Uganda's REDD process <p><u>Vote Function output: Coordination, Monitoring, Inspection, Mobilization, and supervision</u> During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following:</p> <ul style="list-style-type: none"> a. REDD Readiness Process regularly monitored, supervised and reported upon to all stakeholders (nationally and internationally); b. Oversight monitoring and 	<p><u>GoU Supported REDD+ Activities (Planned)</u></p> <p><u>Vote Function output: Policy Planning, Legal and Institutional framework</u> During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following:</p> <ul style="list-style-type: none"> a. Nothing to report; as all activities planned were not done <p><u>Vote Function output: Coordination, Monitoring, Inspection, Mobilization, and supervision</u> During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following:</p> <ul style="list-style-type: none"> a. Five meetings of the National Steering Committee (of which one was for the CCPC) were held. Meetings of the REDD+ Working Group representing NTC and its taskforces is reported elsewhere (see component 4) <ul style="list-style-type: none"> i. June 2013 ii. February 2014 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>supervision to Pilot REDD + Demonstration activities;</p> <p><u>Vote Function output: Administration and management support</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. 3 vehicles for REDD+ Implementation Unit maintained b. General office supplies and goods for REDD+ Project and supplied; <p><u>Vote Function output: Government buildings and administrative infrastructure</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. REDD+ Offices renovated and maintained; <p><u>Vote Function output: Purchase of office and ICT Equipment, including software</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p>	<ul style="list-style-type: none"> iii. May 2014 to prepare for the FCPF & UN-REDD Mission iv. June 2014 as part of the briefing to the CCPC i) Nothing to report; as all activities planned were not done <p><u>Vote Function output: Administration and management support</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. 3 vehicles for REDD+ Implementation Unit maintained b. General office supplies and goods for REDD+ Project and supplied; <p><u>Vote Function output: Government buildings and administrative infrastructure</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. Nothing to report; as all activities planned were not done 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>a. Design and installation of REDD+ Project performance assessment and monitoring and reporting system</p> <p><u>Vote Function output: Purchase of specialized machinery and equipment</u> During 2013/14, it had been planned that the GoJ Support would contribute to the following:</p> <p>a. REDD+ Secretariat vehicle procured</p>	<p><u>Vote Function output: Purchase of office and ICT Equipment, including software</u> During 2013/14, it had been planned that the GoJ Support would contribute to the following:</p> <p>a. Nothing to report; as all activities planned were not done</p> <p><u>Vote Function output: Purchase of specialized machinery and equipment</u> During 2013/14, it had been planned that the GoJ Support would contribute to the following:</p> <p>b. REDD+ Secretariat vehicle procured</p>	
	1b. Consultation, Participation, and Outreach			<p>FCPF REDD+ Support (Activities Planned) <u>Component 2: Enhancing Stakeholder Engagement and Feedback in Readiness Process</u> <u>Sub-component 2.1: Strengthening participatory structures, and conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels</u> During 2013/14, it had been</p>	<p>FCPF REDD+ Support (Activities Planned) <u>Component 2: Enhancing Stakeholder Engagement and Feedback in Readiness Process</u> <u>Sub-component 2.1: Strengthening participatory structures, and conducting capacity building trainings to enhance stakeholder engagement at national and sub national levels</u> Out of the activities planned during 2013/14, under the FCPF REDD+</p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>planned that the FCPF REDD+ Support would contribute to the funding the</p> <p>b. setting up and training of participatory structures</p> <p><i>Sub- component 2.2 Development of communication materials/tools for all components under the readiness grant</i></p> <p>During 2013/14, it had been planned that the FCPF REDD+ Support would contribute to the funding the</p> <p>c. development of a variety of communication materials / tools for all components</p>	<p>Support, the following is the progress:</p> <p>b. Procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on August 26, 2014)</p> <p><i>Sub- component 2.2 Development of communication materials/tools for all components under the readiness grant</i></p> <p>Out of the activities planned during 2013/14, under the FCPF REDD+ Support, the following is the progress:</p> <p>b. Procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on August 26, 2014)</p>	
				<p>GoU Supported REDD+ Activities (Planned)</p> <p><i>Vote Function output: Promotion of knowledge on environment and natural resources</i></p> <p>During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <p>a. Citizens aware of REDD+ process and progress (Support to communication programs</p>	<p>GoU Supported REDD+ Activities (Planned)</p> <p><i>Vote Function output: Promotion of knowledge on environment and natural resources</i></p> <p>During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <p>a. Nothing to report; as all activities planned were not done</p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>on REDD+ for at least 5 key relevant government institutions each Qtr);</p> <p><u>Vote Function output: Policy Planning, Legal and Institutional framework</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ol style="list-style-type: none"> a. National/Policy Stakeholder sensitization on REDD+ process and progress; <p><u>Vote Function output: Coordination, Monitoring, Inspection, Mobilization, and supervision</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ol style="list-style-type: none"> b. Consultations at regional levels on proposed Feedback and Grievances Redress mechanisms for REDD+ conducted for stakeholders input; <p><u>Vote Function output: Capacity building and technical backstopping</u> During 2013/14, it had been</p>	<p><u>Vote Function output: Policy Planning, Legal and Institutional framework</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ol style="list-style-type: none"> a. Three Stakeholder meetings for key level government institutions as follows: <ol style="list-style-type: none"> i. Directorate of Environmental Affairs (DEA) ii. MWE Top Policy Level sensitization workshop scheduled for February 2014; iii. High level GoU/institutions REDD+ sensitization workshop scheduled for April 2014; <p><u>Vote Function output: Coordination, Monitoring, Inspection, Mobilization, and supervision</u> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. Selected personnel in ministries and lead agencies trained in various aspects of REDD+; b. District level Staff trained in various aspects of REDD+ 	<p>a. Nothing to report; as all activities planned were not done</p> <p><i>Vote Function output: Capacity building and technical backstopping</i> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. Nothing to report; as all activities planned were not done b. Nothing to report; as all activities planned were not done 	
2. REDD+ Strategy Preparation	2a. Assessment of Land Use, Land Use Change Drivers, Forest Law, Policy and Governance			<p><u>GoU Supported REDD+ Activities (Planned)</u></p> <p><i>Vote Function output: Restoration of degraded and protection of ecosystem</i> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> a. Social - economic trends in relationship with trends in deforestation and forest degradation analyzed and documented 	<p><u>GoU Supported REDD+ Activities (Planned)</u></p> <p><i>Vote Function output: Restoration of degraded and protection of ecosystem</i> During 2013/14, it had been planned that the GoU Support would contribute to the following:</p> <ul style="list-style-type: none"> e. Nothing to report; as all activities planned were not done 	
	2b. REDD+			<i>The output of Component 3: (a-d) will lead into drafting the National</i>	<i>The output of Component 3: (a-d) will lead into drafting the National REDD+</i>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
	Strategy Options			<i>REDD+ Strategy document</i>	<i>Strategy document</i>	
	2c. Implementation Framework			<p><u>Component 4: REDD+ implementation framework</u> During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would support finalizing draft Guidelines for REDD+ Demonstration Activities. These guidelines will form initial step for establishing an institutional framework for implementation of REDD+ at sub-national level:</p> <ul style="list-style-type: none"> a. Reviewing and finalizing the draft Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities. b. Stakeholder consultations and input into the Guidelines, Standards and Modalities for designing and implementing sub-national or field demonstration REDD+ activities. c. Reviewing and documenting existing REDD+ demonstration activities. d. Developing and monitoring framework for future demonstration activities. 	<p><u>Component 4: REDD+ implementation framework</u> During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would support finalizing draft Guidelines for REDD+ Demonstration Activities. These guidelines will form initial step for establishing an institutional framework for implementation of REDD+ at sub-national level:</p> <ul style="list-style-type: none"> a. Procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on September 5, 2014) f. Nothing to report; as all activities planned were not done g. Procurements of Consultants ongoing h. Nothing to report; as all activities planned were not done i. Nothing to report; as all activities planned were not done 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				e. Establishing a database for ongoing REDD+ programmes/projects in Uganda		
				<p>GoU Supported REDD+ Activities (Planned)</p> <p><i>Vote Function output: Policy Planning, Legal and Institutional framework</i></p> <p>During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following:</p> <p>a. A nationally acceptable and internationally peer reviewed interim guidelines standards and modalities for the design and implementation for sub-national and/ demonstration REDD+ activities prepared;</p>	<p>GoU Supported REDD+ Activities (Planned)</p> <p><i>Vote Function output: Policy Planning, Legal and Institutional framework</i></p> <p>During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following:</p> <p>a. The first version of the interim guidelines standards and modalities for the design and implementation for sub-national and/ demonstration REDD+ activities was prepared and approved by the 8th Meeting of National Steering Committee. It is awaiting publication and dissemination to relevant stakeholders;</p>	
	2d. Social and Environmental Impacts			<p><u>FCPF REDD+ Support (Activities Planned)</u></p> <p><i>Component 3: Designing REDD+ Readiness Strategy and SESA</i></p> <p>During 2013/14, it had been planned that the <u>FCPF REDD+</u></p>	<p><u>FCPF REDD+ Support (Activities Planned)</u></p> <p><i>Component 3: Designing REDD+ Readiness Strategy and SESA</i></p> <p>During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would</p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p><u>Support</u> would contribute mainly on the Strategic Environmental and Social Assessment (SESA) through the following activities</p> <ul style="list-style-type: none"> a) Conducting and assessment of potential strategic options in proposed in the May 2012 R-PP, including analysis of policy, legal, social, environmental, cost benefits, governance aspects, and other relevant aspects. b) Refining and prioritizing REDD+ Strategies that are most likely to be successful, most cost effective, and have the highest potential for scaling-up. c) Stakeholder consultations on assessment (part (a) above) and priority REDD+ Strategies (part (b) above) to obtain feedback on policy implications and requirements of the findings and conclusions of these assessments and recommended priority REDD+ Strategies. d) Presenting findings of assessment to CCPC for final validation and approval, 	<p>contribute mainly on the Strategic Environmental and Social Assessment (SESA) through the following activities</p> <p>a. This component is in two sub-components:</p> <ul style="list-style-type: none"> i. For the SESA sub-component, procurements of Consultants ongoing (T.o.Rs, REOI approved; Bids for REOI due for opening on August 26, 2014) ii. For the REDD+ Strategy sub-component, procurements of Consultants is also ongoing (T.o.Rs, REOI are awaiting approval) <p>b. Nothing to report; as all activities planned were not done</p> <p>c. Nothing to report; as all activities planned were not done</p> <p>d. Nothing to report; as all activities planned were not done</p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				consultations with other sectors at.		
3. Reference Emissions Level/Reference Levels				<p><u>FCPF REDD+ Support (Activities Planned)</u> <u>Component 5: Preparation of National Reference Scenario for REDD+ Including Inventory of Forest Resources</u></p> <p>During 2013/14, it had been planned that the <u>FCPF REDD+ Support</u> would finance consultant services, capacity building trainings, workshops, procurement of satellite imageries and GIS equipment, operational costs and relevant consultations to initiate the development of a reference scenario (FERL/FRLs), including national forest cover mapping and inventory in priority areas:</p> <ol style="list-style-type: none"> Capacity building for developing national Reference Scenario (FERL/FRLs) among technical staff. Designing a plan for designing national Reference levels. Compiling and assessing suitability of historical data for use in REL.RLS development. 	<p><u>FCPF REDD+ Support (Activities Planned)</u> <u>Component 5: Preparation of National Reference Scenario for REDD+ Including Inventory of Forest Resources</u></p> <p>Out of the activities planned during 2013/14, under the <u>FCPF REDD+ Support</u>, the following is the progress:</p> <ol style="list-style-type: none"> Nothing to report; Capacity building for developing national Reference Scenario (FERL/FRLs) among technical staff is part of what will be included under the FAO support Nothing to report; Designing a plan for designing national Reference levels is part of what will be included under the FAO support Nothing to report; Compiling and assessing suitability of historical data for use in REL.RLS development is part of what will be included under the FAO support Nothing to report; Developing tools and training is use of tools for linking and harmonizing 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<ul style="list-style-type: none"> d. Developing tools and training is use of tools for linking and harmonizing various national databases. e. Purchase of Remote Sensing data. f. Operational costs for conducting inventory work. g. Purchase of equipment (hard and soft) for processing, storing and archiving remote sensing data. 	<ul style="list-style-type: none"> various national databases is part of what will be included under the FAO support e. Nothing to report; Purchase of Remote Sensing data is part of what will be included under the FAO support f. Nothing to report; Operational costs for conducting inventory work depends on guidance provided by the FAO support g. Nothing to report; Purchase of equipment (hard and soft) for processing, storing and archiving remote sensing data depends on guidance provided by the FAO support 	
4. Monitoring Systems for Forests and Safeguards	4a. National Forest Monitoring System			<p><u>ADC REDD+ Support (Activities Planned)</u> <i>Activities for result 1: A national robust forest monitoring system for monitoring and reporting on REDD+ (including for multiple benefits and safeguards) actions in Uganda designed, consulted upon, linked with other relevant national systems and deployed</i></p> <p>During 2013/14, it had been planned that the <u>ADC REDD+ Support</u> would finance consultant services, capacity building</p>	<p><u>ADC REDD+ Support (Activities Planned)</u> <i>Activities for result 1: A national robust forest monitoring system for monitoring and reporting on REDD+ (including for multiple benefits and safeguards) actions in Uganda designed, consulted upon, linked with other relevant national systems and deployed</i></p> <p>Out of the activities planned during 2013/14, under the <u>ADC REDD+ Support</u>, the following is the progress:</p> <ul style="list-style-type: none"> a. Procurements of Consultants 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>trainings, workshops to initiate the designing of a NFMS</p> <p>a. Defining and agreeing on process for developing forest monitoring system (NFMS) and reporting on REDD+.</p> <p>b. Assessing data sets and identifying gaps in data on forestry resources</p> <p>c. Developing mechanisms for institutional collaboration in forest data acquisition, sharing and management.</p> <p>d. Drafting and negotiating information systems linkage and data sharing protocols.</p> <p>e. Initiating design of a web-based linkage mechanism for linking all relevant information systems.</p> <p>f. Assessing capacities for developing forest monitoring system for emissions and multiple benefits and safeguards.</p> <p>g. Designing capacity building programme in reference to the assessment conducted under part #g above.</p> <p>h. Convening supervision and coordination forums.</p> <p>i. Monitoring implementation progress and reporting on</p>	<p>ongoing (T.o.Rs, were prepared and consulted upon).</p> <p>Procurement of consultants (one international and one national consultant) will be competed in the second / third quarter of 2014-15 FY</p> <p>b. This process of “Assessing data sets and identifying gaps in data on forestry resources” was initiated in August, with an exploratory meeting to identify the needs. A report is in preparation.</p> <p>c. Nothing to report; as all activities planned were not done</p> <p>d. Nothing to report; as all activities planned were not done</p> <p>e. Nothing to report; as all activities planned were not done</p> <p>f. Nothing to report; as all activities planned were not done</p> <p>g. Nothing to report; as all activities planned were not done</p> <p>h. The ADC REDD+ Support is supervised through the Joint Water and Environment Sector Support Programme (JWESSP). Reports for each quarter were submitted accordingly</p> <p>i. The ADC REDD+ Support is supervised through the Joint Water and</p>	






Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				performance.	Environment Sector Support Programme (JWESSP). All meetings of the programme were attended and progress reported upon.	
	4b. Information System for Multiple Benefits, Other Impacts, Governance, and Safeguards			<p><u>ADC REDD+ Support (Activities Planned)</u> Activities for result 2: A national and subnational system of monitoring and providing information on how multiple benefits, other impacts, governance, and actual safeguards are being addressed and respected during the implementation of REDD+ activities in Uganda designed, consulted upon and deployed; During 2013/14, it had been planned that the <u>ADC REDD+ Support would</u> finance consultant services, capacity building trainings, workshops to initiate the designing of a <u>system of monitoring and providing information on how multiple</u></p> <p>a. Defining and agreeing on process for developing <u>system of monitoring and providing information on how multiple.</u></p> <p>b. Defining objectives of a national and subnational</p>	<p><u>ADC REDD+ Support (Activities Planned)</u> Activities for result 2: A national and subnational system of monitoring and providing information on how multiple benefits, other impacts, governance, and actual safeguards are being addressed and respected during the implementation of REDD+ activities in Uganda designed, consulted upon and deployed; Out of the activities planned during 2013/14, under the <u>ADC REDD+ Support</u>, the following is the progress:</p> <p>a. Procurements of Consultants ongoing (T.o.Rs, were prepared and consulted upon). Procurement of consultants (one international and one national consultant) will be competed in the second / third quarter of 2014-15 FY</p> <p>b. Nothing to report; as all activities planned were not done</p> <p>c. Nothing to report; as all activities planned were not done</p>	






Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>system for Monitoring Multiple benefits, other impacts and Governance and actual safeguards;</p> <p>c. Preparation of a national and subnational system for Multiple benefits, other impacts and Governance and actual safeguards through application of standard elaborate steps;</p> <p>d. Preparation of monitoring and assessment (including collection, assessment of the monitoring information, participatory review of the assessment reports and publication) plan for the system; and creating Uganda - specific Governance and other safeguards indicators;</p>	d. Nothing to report; as all activities planned were not done	
				<p><u>ADC REDD+ Support (Activities Planned)</u> Activities for result 3: Spatially based biodiversity and ecosystem-based multiple benefits of REDD+; including land-use and pressures on natural resources, identified and mapped During 2013/14, it had been</p>	<p><u>ADC REDD+ Support (Activities Planned)</u> Activities for result 3: Spatially based biodiversity and ecosystem-based multiple benefits of REDD+; including land-use and pressures on natural resources, identified and mapped Out of the activities planned during 2013/14, under the <u>ADC REDD+</u></p>	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				<p>planned that the <u>ADC REDD+ Support would</u> finance consultant services, capacity building trainings, workshops to initiate the designing of a <u>system of monitoring and providing information on how multiple</u></p> <ul style="list-style-type: none"> a. Capacity building session on spatial analyses of potential multiple benefits and risks from REDD+; including land-use and pressures on natural resources and their policy relevance; b. Field biodiversity and ecosystem-based multiple benefits of REDD+; including land-use and pressures on natural resources data collection and analysis. Component data sets compiled in a format suitable for additional analyses, as well as GIS analysis tools developed specifically to support such analyses; c. Data and Information generated is linked to REDD+ Strategy 	<p><u>Support</u>, the following is the progress:</p> <ul style="list-style-type: none"> a. One workshop to identify multiple benefit planning in Uganda, executed by UNEP-WCMC in collaboration with the REDD+ Secretariat (FSSD) of the Ministry of Water and Environment and the National Forest Authority (NFA) was held. A report on “Supporting planning for multiple benefits from REDD+ in Uganda: exploring synergies with the CBD Aichi Biodiversity Targets” was prepared and awaiting publication. b. Nothing to report; as all activities planned were not done c. Nothing to report; as all activities planned were not done 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014)(Numberings are identical to allow for direct comparison of what was planned against progress))	
				preparation and decision-making processes at national and subnational levels including potential links to REDD+, NAMA, Biodiversity, Land registries, safeguards, UBOS' the FAOSTAT forestry statistics database, National biodiversity exchange mechanism and other relevant information systems		
				<u>FCPF REDD+ Support (Activities Planned)</u> During 2013/14, it had been planned that the <u>FCPF REDD+ Support would</u> finance consultant services to assess the projects <ol style="list-style-type: none"> a. <u>Carbon Foot-print off-set</u> 	<u>FCPF REDD+ Support (Activities Planned)</u> Out of the activities planned during 2013/14, under the <u>FCPF REDD+ Support</u> , the following is the progress: <ol style="list-style-type: none"> a. Procurements of Consultants ongoing (T.o.Rs were prepared and they are waiting for approval) 	
				<u>GoU Supported REDD+ Activities (Planned)</u> <u>Vote Function output: Acquisition of other capital assets</u> During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following: <ol style="list-style-type: none"> a. Communities supported to 	<u>GoU Supported REDD+ Activities (Planned)</u> <u>Vote Function output: Acquisition of other capital assets</u> During 2013/14, it had been planned that the <u>GoU Support</u> would contribute to the following: <ol style="list-style-type: none"> a. Nothing to report; as all activities planned were not done 	

Components	Sub-components	Overall Progress		Progress against annual targets		Tracking (rating)
		Planned Milestones	Cumulative Progress Towards Milestones	Expected Annual Target (Planned Activities for July 2013 to June 2014 (allowing for June 2013 and July and August 2014))	Achievements of the Annual Target (Progress made from July 2013 to June 2014 (allowing for June 2013 and July and August 2014))(Numberings are identical to allow for direct comparison of what was planned against progress)	
				plant 100,000 seedlings of indigenous / agro forestry species to offset carbon footprint from project activities		














Disbursement rate of FCPF-financed Readiness Fund Grant (>3.4 million USD), in percentage (FCPF M&E Framework 1.3.d.): ⁶		
	Rate	Tracking
RF Grant - disbursement rate vs. planned disbursements		<p><i>Please select your light rating:</i></p> <p> <input type="radio"/> Up to 10% variance with plans</p> <p> <input type="radio"/> Between 10 and 25% variance</p> <p> <input type="radio"/> Between 25 and 40 % variance</p> <p> <input type="radio"/> More than 40 % variance</p> <p> <input type="radio"/> Non Applicable</p>

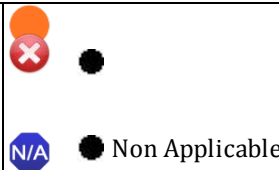
Disbursement rate of Total R-PP Budget in percentage (FCPF M&E Framework 1.3.d.):		
	Rate	Tracking
RPP Budget - disbursement rate vs. planned disbursements		<p><i>Please select your light rating:</i></p> <p> <input type="radio"/> Up to 10% variance with plans</p> <p> <input type="radio"/> Between 10 and 25% variance</p> <p> <input type="radio"/> Between 25 and 40 % variance</p> <p> <input type="radio"/> More than 40 % variance</p> <p> <input type="radio"/> Non Applicable</p>

⁶ From this section going forwards the notation “N/A” is applied to indicate that the activity has not been initiated but not necessarily that the activity is not applicable to Uganda.

3.2.2. Key elements of performance-based payment systems for emission reductions generated from REDD+ activities

- Outcome level

As a synthesis of the following output level assessments, please briefly specify:	
<p>Are carbon accounting, programmatic elements and pricing operating as planned in your pilot, if relevant (FCPF M&E Framework Indicator 2.A.)</p>	<p> <input checked="" type="radio"/>  Yes <input type="radio"/>  Overall yes, but with some discrepancies <input type="radio"/>  Overall no, but with some positive signs <input type="radio"/>  No <input type="radio"/>  Non Applicable <u>Please describe progress made:</u> </p>
<p>Is the benefit sharing scheme being implemented according to plans within your pilot, if relevant (FCPF M&E Framework Indicator 2.B.)</p>	<p> <input checked="" type="radio"/>  Yes <input type="radio"/>  Overall yes, but with some discrepancies <input type="radio"/>  Overall no, but with some positive signs <input type="radio"/>  No <input type="radio"/>  Non Applicable <u>Please describe progress made:</u> </p>
<p>Percentage and/or amount of monetary benefits shared with beneficiaries in approved pilot, if relevant (FCPF M&E Framework Indicator 2.C.)</p>	<p> <input checked="" type="radio"/>  Percentage and/or amount targets to be defined <input type="radio"/>  <input type="radio"/>  </p>

	
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- Output level

Has your country submitted early ideas or ER-Program to the CF and/or others (<i>FCPF M&E Framework 2.3.a</i>):	
No	<u>Please briefly describe the content of these early ideas or ER-Program:</u>

Has your country signed an ERPA (<i>FCPF M&E Framework 2.4.b</i>):	
No	<u>Please briefly describe the content of this ERPA:</u>

Amount and date of disbursements for ER Program according to plans, if relevant (<i>FCPF M&E Framework 2.5.</i>):	
Date:	Amount provided: N/A
Date:	Amount provided: N/A
Date:	Amount provided: N/A

3.2.3. Engagement of stakeholders to sustain or enhance livelihoods of local communities and to conserve biodiversity within the approach to REDD +

- Outcome level






As a synthesis of the following output level assessments, please describe indicators related to biodiversity conservation and forest community livelihood development included in the ER Program, if relevant (<i>FCPF M&E Framework Indicator 3.A.</i>):	
Amount: N/A	<u>Please describe how these funds target biodiversity and forest community livelihood development: N/A</u>






Please provide relevant examples on the inherent social and biodiversity benefits of REDD+, if relevant (<i>FCPF M&E Framework Indicator 3.B.</i>):	
<u>Examples of inherent social and biodiversity benefits of REDD+: N/A</u>	

- Output level

Number of examples of actions where IPs, CSOs, and local communities participate actively, if relevant (<i>FCPF M&E Framework 3.1.a.</i>):	
#: N/A	<u>Please describe these actions on enhanced livelihoods and BD conservation, and</u>

	restoration where, IPs and CSOs and local communities participate actively: N/A
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Number of IP and REDD country CSO representatives (men/women) having been successfully trained by FCPF training programs (FCPF M&E Framework 3.1.b.):			
<u>Please list the training conducted:</u>	<u>Duration (# of days)</u>	<u># of participants # of men / # of women</u>	
			 ● targets in terms of number of men and women to be trained by country to be defined  ●  ●  ●  ● Non Applicable

Frequency of meetings of stakeholder engagement platforms (FCPF M&E Framework 3.2.a.):	
<u>Frequency:</u> N/A	 ● targets in terms of frequency to be defined  ●  ●  ●  ● Non Applicable

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at maintaining or enhancing livelihoods of local communities (FCPF M&E Framework 3.2.b.):	
<u>Yes/No:</u> N/A	<u>Please describe these activities aiming at maintaining or enhancing livelihoods of local communities:</u> N/A

Does your country R-Package (within the national REDD+ strategies and the monitoring systems) and/or ER Program include activities aiming at conserving biodiversity (FCPF M&E Framework 3.2.c.):	
<u>Yes/No:</u> N/A	<u>Please describe these activities aiming at conserving biodiversity:</u> N/A

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Does your country R-Package and/or ER Program include SESA, an operational Grievance Mechanisms, and an ESMF that captures SESA results (FCPF M&E Framework 3.2.d.):	
<u>Yes/No:</u>	<p><i>If yes, please select your light rating:</i></p> <p> <input type="radio"/> Degree of advancement or implementation targets to be defined</p> <p> <input type="radio"/></p> <p> <input type="radio"/></p> <p> <input type="radio"/></p> <p> <input type="radio"/> Non Applicable</p> <p><u>Please describe the measures implemented:</u></p>

3.2.4. Knowledge sharing

Has your country developed and published REDD+ knowledge products with FCPF support:	
<u>Yes/No:</u> N/A	<u>Please provide the list of published REDD+ knowledge products, if any during reporting period :</u> N/A

How many people have been reached by these knowledge products, if any:	
<u>Overall number by product:</u> N/A	
<u># of Men:</u>	
<u># of Women:</u>	

Have some experts of your country participated in any South-south learning activities? If yes, how many (men and women)?		
<u>Yes/No:</u> N/A	<u>List the South-South learning activities:</u> N/A	<u># of men:</u>
		<u># of women:</u>

4. ISSUES, CHALLENGES AND RISKS⁷

This section should present any problems, difficulties or constraints faced by the country in making progress towards the intended REDD+ results (outputs, outcomes and possible impacts), the main causes and their expected effect on the work plan. Actions that have been taken to overcome or

⁷ There is nothing to report at the moment

manage these constraints/flaws/problems identified should be stated. Each problem/constraint should be stated as a separate point, along with associated proposed changes in work planning for the next six month/year to address it, as relevant.

It is expected that the country monitors any changes in the assumptions that underpin the logic of intervention of FCPF at the national level and other significant risks that may arise. This section should explain through a narrative any changes in the level of risk associated with the different assumptions, or describe new risks that may have emerged and have a significant bearing on the national work-planning with respect to FCPF support for the next year and beyond, along with the associated measures required to address this change.

5. MAIN LESSONS LEARNED⁸

This section should be used to provide information on important lessons learnt since the beginning of the readiness process. As this is a semi-annual report, it is expected that this section will be fairly substantial, making reference to different lessons learning documents, and/or events developed and dealing with issues of particular interest with respect to readiness of carbon funding under REDD+.

OBSERVATION MADE DURING THE IMPLEMENTATION SUPPORT MISSION (June 9-13, 2014)

I. INTRODUCTION

1. A World Bank mission was carried out on June 9 to 13, 2014. The World Bank team comprised Neeta Hooda (Senior Carbon Finance Specialist, Task Team Leader), Martin Fodor (Sr. Environmental Specialist), Nicholas Soikan (Social Specialist - Consultant), Lesya Verheijen (Operations Officer - Consultant), Grace Munanura (Senior Procurement Specialist), Edwin Moguche (Financial Management Specialist), Paul Asiimwe (Forestry and Climate Change Specialist - Consultant), Harriet Kiwanuka (Program Assistant), Clare Busingye (Program Assistant), and Yesmeana Butler (Program Assistant). The mission included a field visit to Rwoho Central Forest Reserve (a site of the BioCF Project) – field visit report is attached as Annex 8.

2. The mission objectives were to (i) review progress of FCPF REDD+ Readiness Preparation grant and support FCPF Readiness implementation; (ii) discuss coordination of support to REDD+ with the Government of Uganda, the UN-REDD Programme team, Embassy of Austria, and other partners involved with supporting Uganda REDD+; (iii) conclude the handover from Martin Fodor (outgoing Task Team Leader (TTL) to Neeta Hooda (incoming TTL); and (iv) follow up with the National Forest Authority on the status of BioCarbon Fund (BioCF) Afforestation/ Reforestation Project and next steps on Emission Reductions Purchase Agreement (ERPA) revisions. The mission was joined by the UN-REDD Programme scoping mission, aimed at identifying key areas for UN-REDD support in Uganda.

3. This Aide Memoire summarizes the mission's key findings, agreements, and recommendations, and is subject to Bank management approval. The draft Aide Memoire was reviewed at the wrap-up meeting on June 13, 2014 chaired by Mr. David O. O. Obong, Permanent Secretary of the Ministry of

⁸There is nothing to report at the moment. However, there are very important observations that were made at the end of the one year after the signature of the grant.

Water and Environment (MoWE). The disclosure of this AM was discussed with the Government of Uganda (GoU). It was agreed that the Aide Memoire is classified as Public.

4. The mission expresses its sincere appreciation to Hon. Prof. Ephraim Kamuntu, Minister, MoWE; Mr. David O. O. Obong, Permanent Secretary, MoWE; Mr. Paul Mafabi, Director, Directorate of Environmental Affairs, MoWE; Ms. Margaret Mwebesa, Assistant Commissioner, Forestry Sector Support Department (MoWE), REDD+ National Focal Point (NFP); and Mr. Xavier Mugumya, Climate Change Coordinator, National Forestry Authority (NFA), Alternate REDD+ NFP, and other individuals with whom the mission members interacted. A complete list of the people met is attached as Annex 1. Complete mission agenda is attached as Annex 2. The team looks forward to the next mission.

II. CONTEXT

5. **REDD+ Readiness Preparation.** The REDD+ Readiness Phase started in March 2010 and involved an analysis and description of the actions necessary to get Uganda ready for REDD+ and completion of the Uganda's REDD+ Readiness Preparation Proposal (R-PP). The final R-PP was approved during the 9th PC meeting in Oslo on June 22, 2011. Uganda submitted an updated R-PP in May 2012. The Grant Agreement for the REDD+ Readiness Grant (US\$3,634,000) was signed in June 2013 and became effective in December 2013, following fulfillment of the effectiveness condition on procurement. The Closing Date is June 30, 2016. The grant disbursements have not yet started.

III. KEY FINDINGS

6. **Forest Carbon Partnership Facility (FCPF) grant.**

- The FCPF grant disbursements have not yet started since grant effectiveness in December 2013;
- Implementation of activities is delayed by about one year, with only two years remaining to implement a 3 year program until the Closing Date of June 2016;
- procurement processes for the key consultancies have not started and procurement of the two Individual Consultants for the REDD+ Secretariat is significantly delayed;
- Mobilization of additional resources appears to have taken priority over actual implementation using resources already available;
- REDD+ Secretariat experiences serious implementation constraints, and significant focus on implementation is required to move the REDD+ Readiness process forward.

7. **Nile Basin Reforestation Project.** First carbon payment was made to the NFA in December 2013, yet the revenue for the emissions reduction was not disbursed to the communities to date.

IV. MISSION FINDINGS, AGREEMENTS, AND RECOMMENDATIONS

8. **Progress on REDD+ Agenda in Uganda.** REDD+ is an integral part of the Climate Change Policy that was approved by the Policy Committee on Environment. This sets out the policy environment for the REDD+ Readiness and fund mobilization activities by the National Focal Point. Detailed report from the NFP on the REDD+ Agenda is attached as Annex 3.

9. **Mobilization of Additional Resources for REDD+ Readiness Process.** The REDD+ NFP has focused strongly on the mobilization of additional resources for the REDD+ Readiness Process, to fill the

gap between the R-PP budget of US\$10.7million and the FCPF grant of \$3.64 million. The ADC grant of equivalent US\$0.89 million was signed in July 2013. The country joined the UN-REDD Programme, and potential areas for UN-REDD Programme support were identified during the mission. The mission recognizes that it is important to fill the funding gap for the R-PP; however, given that no actual activities under the FCPF have yet taken place, this raises a concern. The GoU team is encouraged to focus on and expedite the implementation of the already funded activities.

10. **Steering and Coordination of the REDD+ Readiness.** The entire REED+ agenda, including development partner support, needs to be well steered by the political leadership of the Ministry. This is particularly important given that the discussions for development of the REDD+ strategy will need active engagement of the Climate Change Policy Committee and broader cross-sectoral involvement. The mission received assurance from the MoWE Top Management that this focus and engagement will commence in the immediate period. The REDD+ Secretariat and the World Bank team will continue coordination with other development partners in the sector.

11. **Progress of Implementation.** The mission notes slow progress in implementation of the FCPF funded activities. Preparation of ToRs for key consultancies is yet to start. The mission acknowledges the innovative nature of the technical assignments, hence of their ToRs – yet Uganda is well positioned to draw on experiences of other FCPF countries and of the FCPF experts. It was agreed that procurement for the key consultancies will be started by June 30, 2014. Recruitment of the two contracted staff (consultants) for the REDD+ Secretariat is still not finalized. Procurement of office equipment and a project vehicle was nearly completed. The implementation is, essentially, delayed by one year – which means that implementation of all grant activities needs to be compressed into the remaining two years, to be completed by June 30, 2016. The mission reminded the counterparts that the Mid-Term progress report is due within six months of the Grant Agreement date (by December 2014). Summary progress on implementation vis a vis Year 1 implementation plan is presented in Annex 4.

12. **Implementation Constraints.** The mission noted that the GoU staff who were intended to form the REDD+ Secretariat were not fully mobilized. This puts certain constraints on the ability of the REDD+ Secretariat to move forward with implementation. Besides, the REDD+ Working Group and the REDD+ Task Forces are not fully operational and are not performing their mandate, which means that the momentum gained during the Formulation Phase cannot be capitalized on. It was agreed during the mission that the following steps will be taken to alleviate these constraints and expedite implementation: (i) fully constituting and operationalizing the three Task Forces for the Readiness Process and organizing their first meeting, by June 30, 2014; (ii) sharing all records coming out of the Task Force and REDD+ WG meetings with the wider stakeholder group and with the World Bank; (iii) fast tracking recruitment of the contracted REDD+ Secretariat staff (Technical Advisor and Communications / Project Officer); (iv) fully mobilizing the GoU staff for the REDD+ Secretariat; and (v) exploring opportunities of attracting additional technical experts to expedite implementation of the grant activities (including through cooperation with the Climate Change Unit of the MoWE). The mission team, together with the GOU team, also explored options for engaging additional technical support / back up in the absence of the fully constituted REDD+ Secretariat. The mission also agreed that monthly meetings will be organized between the GoU and World Bank teams to assess implementation progress; first meeting will take place on July 15, 2014.

13. **Social and Environmental Safeguards.** The FCPF Readiness Preparation grant must comply with World Bank safeguard policies regarding the management of environmental and social impacts. The FCPF is using a Strategic Environmental and Social Assessment (SESA) to integrate key environmental

and social considerations into REDD+ Readiness by combining analytical and participatory approaches. The SESA ToRs included in the Assessment Note yet the procurement process on this assignment has not commenced to date. It was agreed that this procurement will start by June 25, based on the updated ToR (Annex 5) and this assignment will be done in parallel with the REDD+ Strategy Options work. Work on SESA will be steered by the SESA Task Force; however, the Ministry liaison for this work is yet to be established. Feedback and Grievance Redress Mechanism (FGRM) should also be conducted in tandem with the SESA process. The mission agreed that draft ToRs for the FGRM will be developed by July 30th, 2014. The mission provided support to the NFP in finalizing the SESA ToRs, so they are ready for procurement. The mission also provided guidance on the FGRM, as well as sample ToRs for the assignment. It was also agreed that the World Bank Social Specialist will be invited to the first meeting of the SESA Task Force, to update them on the SESA processes and facilitate linkages on the social and environmental linkages within the MoWE.

14. **Consultation and Participation (C&P), Documentation, and Stakeholder Engagement.** All trainings, workshops, and community dialogues conducted by the REDD+ secretariat and other stakeholders in the Readiness Phase should be well documented through written records and videos. Regarding the consultations, the work on stakeholder engagement is critical. The mission agreed that this work, through a consultancy for stakeholder engagement and development of communication materials, should be contracted out and started as soon as possible, along with the other assignments. It was agreed that the procurement process for this assignment will be started by June 30, 2014. The C&P should build on the work already done during the R-PP phase, including re-mobilizing the stakeholder platforms involved at the time. It is critical that the civil society, private sector, and all other relevant stakeholders are fully engaged in the Readiness Process. The NFP expressed their preference for using a specific NGO for this work – the justification for use of single-sourcing will be submitted to the Bank.

15. **Financial Management.** The project has opened the designated account and project account in the Bank of Uganda. The current Disbursement Letter does not have advance as a disbursement option due to the existence of lapsed loans in the Uganda portfolio during the signing of the project. This has since been resolved and the DL has been submitted for revision to include the advance option. The designated project accountant underwent one day training on Bank financial management and disbursement guidelines in April 2014. The project team members especially the project coordinator, project accountant and procurement officer were advised to register in client connection immediately to enable them make applications and monitor the project. The project was advised to make use of the direct payment option for disbursement for payments that are above the minimum application amount of US\$ 30,000. The team was also taken through the process of applying for funds from the Bank and accounting for the funds utilized and how to submit the withdrawal applications.

16. **Procurement.**

a. The mission reviewed the progress in procurement processing and noted that so far a Motor Vehicle worth USD 50,000 and office equipment worth USD 12,000 have been processed. Processing of major contracts has not commenced, including the Consultancy Services for: (i) SESA, (ii) Monitoring and Evaluation Framework, (iii) Baseline & REDD Strategy, and (iv) Reference Scenarios. It was noted that the ToRs are still being finalized. It was agreed that Requests for Expression of Interest (REOI) are prepared based on draft ToRs to enable the selection processes to commence. REOIs for the SESA will be availed for Bank clearance by June 13, 2014. IDA will provide sample ToR by June 13, 2014 for the i) Monitoring and Evaluation Framework, (ii) REDD+ Strategy, and (iii) Reference Scenario, based on which the GoU team will prepare draft ToRs by June 18 2014 to be submitted along with REOI for Baseline & REDD Strategy and Reference Scenarios, by June 18, 2014.

- b. IDA clarified that NGOs are considered as providers of Consultancy services under the IDA Guidelines, and the team should explore using an appropriate selection method the option of engaging NGOs where the NGOs can leverage their knowledge and networks to ensure faster implementation. The GoU team will study this option and advise IDA of the way forward. by June 24, 2014;
- c. It was agreed that the REDD+ project team will hold monthly meeting with IDA to ensure timely intervention for any identified constraints, and to provide guidance to the team;
- d. The Technical team was implored to prepare Tors and Technical Specifications to enable the procurement processes to proceed;
- e. The procurement plan will be updated, and submitted for IDA review and clearance by June 20 2014;
- f. Based on the findings, the procurement rating is **Highly Unsatisfactory**.

17. **Key Areas Identified for UN-REDD Support.** The mission participated in the scoping discussions by the UN-REDD on potential areas for support, organized by the National Focal Point for REDD+ (NFP). The areas identified by the GoU and expressed during the scoping workshop include the following: (i) R-PP Component 4a – national forest monitoring system (NFMS); and (ii) R-PP Component 4b – information system for multiple benefits, other impacts, governance, and safeguards; (iii) R-PP Component 1a – national REDD+ management arrangements; (iv) R-PP Component 4b – consultation, participations, and outreach; (v) subnational readiness actions with emphasis on landscape approach; (vi) REDD+ compatible forestry sector governance reforms; (vii) greening economy; and (viii) private sector involvement with emphasis on operationalisation of Tree Fund. Of these, UN-REDD Programme may potentially support: Policy measures & multi-sectoral dialogue; NFMS with Monitoring, Reporting and Verification (MRV) functions [plus design of a Safeguards Information System (SIS)]; Demonstrating REDD+ at sub-national level through landscape approaches; and Capacity-building support to manage REDD+ readiness. More of the initial findings of the scoping mission are in Annex 6. The UN-REDD Programme, the World Bank, and other relevant development partners will discuss this further to ensure there is no duplication in supported activities and that synergies are created.

V. NEXT STEPS

The mission agreed on the following next steps:

	Action
1.	Finalize and submit for the Bank No Objection ToRs for the time-sensitive consultancies: (i) SESA, (ii) REDD+ Strategy Options, (iii) Reference Scenario, (iv) Feedback and Grievance Redress Mechanism, (v) Stakeholder Engagement + Communications Materials, and (vi) M&E Framework
2.	Issue requests for Expression of Interest for the above consultancies
3.	Fully constitute the Task Forces (Policy, SESA+C&P, and Reference Level)
4.	Send official notification on the opening of the Designated Account to the Bank and set up the Client Connection profiles for the key project staff
5.	Finalize recruitment of REDD+ Secretariat consultants
6.	Set up the interim technical back up to the REDD+ Secretariat
7.	First monthly implementation progress between the GoU and World Bank teams
8.	Updated Procurement Plan to the World Bank